### CENTRAL ELECTRICITY REGULATORY COMMISSION

8th Floor, Tower-B, World Trade Centre, Nauroji Nagar, New Delhi 110029

No. ADMN-11017/6/2024-CERC

Dated, the 30th August, 2024

Subject:

Engagement of individual Consultant at the level of Advisor on contract basis in the Finance Division of CERC.

#### Introduction

## 1. Background:

1.1 The Central Electricity Regulatory Commission constituted under the erstwhile Electricity Regulatory Commissions Act, 1998 (short as "ERC Act") was recognized as the Central Electricity Regulatory Commission (short as "CERC") under the Electricity Act, 2003. The Electricity Act, 2003 has significantly enlarged the spectrum of responsibility of the Central Electricity Regulatory Commission. The Central Commission has been vested with the functions under the Electricity Act, 2003 (short as "the Act") to regulate the tariff of the generating companies owned or controlled by Central Government, generating companies having a composite scheme for generation and sale of electricity in more than one State, to regulate inter-State transmission of electricity and determination of tariff for inter-State transmission in electricity and development of market among other functions.

# **1.2** Following are the statutory functions of CERC:

- (a) To regulate the tariff of generating companies/ transmission licensees owned or Controlled by the Central Government;
- (b) To regulate the tariff of generating companies/ transmission licensees other than those owned or controlled by the Central Government specified in clause (a), if such generating companies enter into or otherwise have a composite scheme for generation and sale of electricity in more than one State;
- (c) To regulate the inter-State transmission of electricity;
- (d) To determine tariff for inter-State transmission of electricity;
- (e) To issue licenses to persons to function as transmission Licensee and electricity trader with respect to their inter-State operations;
- (f) To adjudicate upon disputes involving generating companies or transmission licensee in regard to matters connected with clauses (a) to (d) above and to refer any dispute for arbitration;
- (g) To levy fees for the purposes of this Act;
- (h) To specify Grid Code having regard to Grid Standards;

- (i) To specify and enforce the standards with respect to quality, continuity and reliability of service by licensees;
- (j) To fix the trading margin in the inter-State trading of electricity, if considered, necessary;
- (k) To discharge such other functions as may be assigned under this Act.
- 1.3 Under section 66 of the Electricity Act, CERC has been mandated to take steps for promoting the development of a market (including trading) in power taking into account the National Electricity Policy. Under section 60 of the Act, CERC has also powers to deal with situations of market domination. It can issue appropriate directions to a licensee or a generating company if such an entity enters into any agreement or abuses its dominant position or enters into a combination which is likely to cause or causes an adverse effect on competition in electricity industry.
- 1.4 The Act has also given advisory role to CERC. It has been mandated to advise the Central Government on the matters relating to formulation of National Electricity Policy and Tariff Policy, promotion of competition, efficiency and economy in activities of the electricity industry, and promotion of investment in electricity industry.
- 1.5 The Commission receives petitions for determination of tariff for generating stations/units, transmission systems, petitions for grant of license and also petitions of miscellaneous nature.
- 1.6 The coming years would see a multi fold increase in the numbers of tariff and miscellaneous petitions as also those for truing up of tariff for the control period 2019-24. Therefore, apart from pending petitions brought forward from the previous period, there would be flux of new tariff petitions for the tariff control period 2024-29 as well as many Review Petitions, Miscellaneous Petitions and Adjudication petitions of critical nature which calls for detailed analysis.
- 1.7 In view of the above activities, CERC proposes to engage one Individual Consultant in the capacity of Advisor (Finance). The detailed Terms of Reference containing the essential qualifications/ experience, duties and responsibilities and process of selection are given below:-

# 2. Scope of work for the Consultant

The broad scope of work for the individual consultant would be to assist/advise the Commission in examining the issues involved as under:

- a. Study and Analysis of the financial and commercial aspects involved in the tariff petitions in time bound manner in line with the provisions as laid down in regulations framed (as amended from time to time). The scope includes, but not limited to, interacting with the staff of the Commission and staff of the Corporate Consultant groups for analysis and resolution of the matters related to admissibility of Capital Expenditure/Add-Cap, and related financial/commercial issues of the Generators/Transmission Licensees;
- Assisting the Commission in implementation of the Terms and Conditions of Tariff Regulations for transmission systems/generating Stations;

- Disposal of matters related to natural inter-state transmission assets, RLDC/ULDC tariff calculations, grant of trading licenses and transmission licenses;
- d. Providing inputs for tariff orders of generation and transmission companies;
- e. Dealing with the miscellaneous petitions pertaining to Transmission/Generation projects;
- f. Inputs for Drafting regulations/amendments to various Regulations, compiling inputs of stakeholders and provide requisite analysis for decision of commission for finalisation of regulations;
- g. Any other assistance as required by the Commission.

## 3.0. Qualification and experience required for Consultant

Post	No. of Consultant	Qualifications, Experience & Competencies				
Advisor (Fin.)	One  Educational Qualification:  Qualified Chartered Accountant/Cost Accountance)/ PG Diploma in Financial Management from institution/ University.  Additional qualifications (Desirable):  Engineering qualification/degree would be an added  Experience:					
		The candidate should have at least 10 to 15 years working experience in judicial / quasi-judicial body / regulatory body/ electricity sector, dealing with Tariff matters.  Competencies:				
		<ul> <li>i. In depth understanding of regulatory framework in the electricity sector of India including CERC terms and conditions of tariff</li> <li>ii. Experience in drafting of regulations and orders</li> <li>iii. Experience in dealing with commercial/Financial disputes</li> <li>iv. Excellent written and communications skills</li> <li>v. Strong analytical skills</li> <li>vi. Adept in working on computers including MS Office.</li> </ul>				
		Desirable:  i. Experience in tariff matters of Transmission Systems and Generating Stations (Thermal and Hydro)  ii. Experience/knowledge of preparation of tariff orders pertaining to transmission/generation projects.  iii. Candidates having experience of working in Electricity Regulatory Commissions shall have added advantage.				

### 4. General Terms and Conditions: -

- 4.1 Normal working hours would be 9.30 A.M to 6.00 P.M (05 days week) including half an hour lunch break. The personnel may be called on Saturdays/ Sundays and other gazetted holidays, and required to be present beyond normal working hours in case of exigencies.
- 4.2 In addition to holidays notified by Central Government, the Consultant shall be entitled for fifteen (15) days leave in a calendar year (January to December) which shall be credited in advance, on quarterly basis, as under. The intervening Saturdays/ Sundays / holidays, if any, shall not be counted as leave. Unutilised leave shall not be carried forward to the next calendar year and is not encashable. Leave of any other nature is not admissible
  - (a) 1st Quarter: (January to March) 04 days
  - (b) 2<sup>nd</sup> Quarter: (April to June) 04 days
  - (c) 3<sup>rd</sup> Quarter: (July to September) 04 days
  - (d) 4<sup>th</sup> Quarter: (October to December) 03 days
- 4.3 The Consultant shall not be entitled to any professional fees for the period of absence beyond the entitled leave of 15 days as above. Any availed leave during a calendar year shall not be carried forward to the next calendar year or qualify for encashment.
- 4.4 In case the Consultant remain absent for more than 15 days beyond the entitled leave in a calendar year, without any prior intimation/prior sanction, except in case of emergency, CERC would be free to terminate the services of the said Consultant.
- 4.5 In the event of absence on the ground of sickness, the Consultant shall be required to submit a proper medical and fitness certificate. However, any leave including the leave on medical grounds beyond the cumulative period of 15 days shall be without any profession fees.
- 4.6 In case of tour within India, the Commission shall reimburse the expenses for journey undertaken for official work by the Consultants as per the following entitlement:
  - a. By air Economy class
  - b. By train AC II tier
  - c. For local travel Non AC taxi .
- 5. **Consolidated Professional fee:** Individual consultant shall be engaged in the category of "Adviser" with a maximum consolidated professional fee of Rs. 1,50,000/- (excluding G.S.T, if applicable) per month commensurate with their academic qualifications, total experience in number of years, domain expertise and knowledge required for the deliverables. In deserving cases, additional fee not exceeding 10% of the fees indicated above may be granted at the time of initial engagement on the basis of the recommendations of the Selection Committee and after approval by the Chairperson.

- 6. **Age Limits:** The age of the applicant to be appointed as Individual Consultant for different categories as on 1st January of the year of advertisement shall be below 62 years; Provided that no Consultant would be retained in the Commission after attaining the age of 65 years.
- 7. **Duration of Contract:** The contract will be initially for a period of two years which can be extended for up to one year on each occasion, limited to a total period of four years. In deserving cases, an annual escalation up to 10% on the fee may be given with the approval of the competent authority based on the performance during preceding year. The Commission and the Consultant would both have option to terminate the contract by giving a notice of three months or the equivalent fee in lieu thereof.
- 8. Applications are to be submitted in prescribed format only. Otherwise, the applications which are not in prescribed format are liable to be rejected. Incomplete applications or those received after due date will not be entertained and will be summarily rejected without any communication. The candidature of such candidates who are subsequently found ineligible according to the terms and conditions laid down in this notice will be cancelled. The decision of the Consultancy Evaluation Committee regarding eligibility of the candidate shall be final.

## 9. Secrecy Clause

- a. All official information, documents etc., whether in physical form or in electronic form, shall be the propriety ownership of CERC and the consultant shall not disclose the same to any person or persons or firms etc., unless required to do so, in due discharge of the official duties, with prior permission/ approval of the Controlling officer/ Competent authority in CERC.
- b. The consultant will not utilize or publish or part with, to a third party, any part of the data or statistics or proceedings or information collected for the purpose of their assignments or during the course of, assignment for the CERC without the express written consent of CERC.
- c. The consultant will maintain highest standards of integrity, transparency, competitiveness, economy and efficiency while working in CERC. The consultant so appointed shall in no case represent or give opinion or advice to others in any matter which is adverse to the interest of CERC nor will indulge in any activity outside the terms of the contractual assignment.

### 10. Procedure for Selection

- 10.1. The Consultant will be engaged in accordance with the terms and conditions laid down in CERC (Appointment of Consultants) Regulation, 2008 and as amended from time to time (copies available in CERC's website www.cercind.gov.in).
- 10.2 The eligibility will be considered by a Consultancy Evaluation Committee (CEC) to be set up by CERC and their performance will be evaluated based on the following criteria:

S.No	Technical Parameters	Weights (in %)
1.	The Consultant's Academic background	40%
2.	The Consultants relevant experience for the assignment	50%
3.	Knowledge of the working environment such as language, culture, administrative system, and other relevant factors	10%

- 11. The Commission reserves the right to reject any or all applications without assigning any reason, or whatsoever.
- 12. Appointment under this assignment shall be on purely contract basis for a limited period only. Such appointment shall not bestow any rights what so ever to claim for regular appointment or continued contractual appointment in CERC.
- 13. Relaxation in essential qualifications/experience can be considered in deserving cases at the discretion of CERC.
- 14. CERC reserves the right not to fill up all or any of the above-mentioned positions.
- 15. Only short-listed candidates will be called for an interaction with the Selection Committee.
- 16. Interested and eligible candidates may kindly send their applications, in the prescribed format to the Dy. Chief (Admin), CERC, 8<sup>th</sup> Floor, Tower-B, Nauroji Nagar, New Delhi-110029 by **20<sup>th</sup> September, 2024 up to 5.00 P.M.** Applications received after the last date and time indicated above will not be entertained.

(Rajiv Kumar) Deputy Chief (Admin)

PC	ST	APPLIED FOR				
RE	SU	ME				
1		Personal Details			Γ	Recent passport size colour photo
	1.	Name				
	2.	Gender	:			
	3.	Date of Birth				
	4.	Father's Name			L	
	5.	Marital Status				
	6.	Permanent Addres	ss:			
	7.	Contact Address				
	8.	Tel No Mobile No. E Mail Id				
	9.	Post applied for				
	10	.Last Pay drawn	1			

II Academic / Professional Qualification (Graduation onwards): Attach self attested copy of certificates.

Course / Degree and no. of years	Institute/ University / College	Year of passing	Regular / Distance education	Subjects specialized	Achievements, if any

# III Experience

Organisation / Institute / Office	Post held	Period		No. of years and months	Description of duties	Remarks
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(Attach self attested copies of experience certificates and salary slips in chronological order. Enclose a separate sheet, duly authenticated by your signature, if space is insufficient

IV Other Details : Additional information/ specific professional achievement/ contribution

V Please state why you want to take up this role and are suitable for the post in 100 words.

(Signature of the candidate)

Date: